



# PROFESSIONAL PROFILE

## MAIN OFFICE

7114 West Jefferson Avenue, Suite 305 • Lakewood, Colorado 80235  
 303.470.5664. Toll Free. 800.983.4448  
[info@dmkadvisorgroup.com](mailto:info@dmkadvisorgroup.com) • [www.dmkadvisorgroup.com/careers.html](http://www.dmkadvisorgroup.com/careers.html)

Last Name				First Name				MI			Honorifics, Titles			
Company name (if applicable)														
SSN#				CRD#				Years in the financial services industry				Date of Birth		
List all securities and insurance registrations and licenses												States licensed in		
Professional Designations (CFP, CLU, etc.)														
Street Address					City					State			Zip	
Mailing Address					City					State			Zip	
Daytime Phone					Mobile Phone				Primary email				Secondary email	
Check those that apply:					Check those that apply and provide details below:									
Are you Insurance licensed?					Do you have any negative information or complaints on your U4?									
Are you an Investment Advisor Representative?					Have you filed for bankruptcy within the last 7 years?									
Are you an Investment Advisor Representative?					Have you been convicted of a felony?									
GROSS PRODUCTION FOR PAST 12 MONTHS:				MOST RECENT MONTH'S GROSS PRODUCTION:				ANTICIPATED PRODUCTION FOR THE NEXT 12 MONTHS:				AVERAGE NET WORTH OF CLIENTS:		
\$				\$				\$				\$		
IN GENERAL, WHAT IS THE PRODUCT MIX IN YOUR BOOK OF BUSINESS?														
Equities	%	Mutual Funds	%	Bonds	%	Municipal Bonds	%							
Life insurance	%	Annuities	%	Alternative Investments	%	Special Products	%							
VALUE OF YOUR ASSETS UNDER MANAGEMENT:				TOTAL NUMBER OF YOUR ACCOUNTS:				NUMBER OF YOUR ACTIVE ACCOUNTS:				AVERAGE NEW ACCOUNTS EACH YEAR:		
\$														
Are you engaged in any other outside businesses? If yes, please describe.														
What is your investment philosophy or theory?														

I hereby authorize DMK Advisor Group, Inc. to conduct a CRD search of my record and any additional pertinent background information that may be required as part of its pre-registration investigation.

Signed \_\_\_\_\_ Date \_\_\_\_\_

Securities and advisory services offered through DMK Advisor Group, Inc., member FINRA/SIPC/MSRB. Main office: 7114 West Jefferson Avenue, Suite 305, Lakewood, Colorado 80235; 303-470-5664. Visit us online at [dmkadvisorgroup.com](http://dmkadvisorgroup.com).